



Data Selection for Generalization in Unimodal and Multimodal Models

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What is model generalization?

Performance in unseen test scenarios

A catch-all term for robustness and domain adaptation

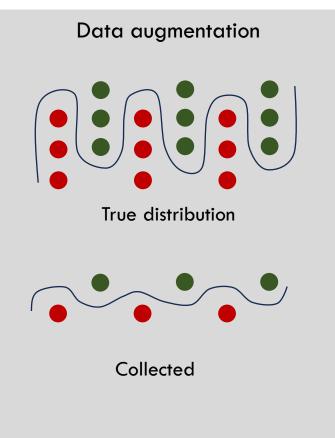
- generalizing to a held-out test set (in-domain)
- generalizing to distribution shift (out-of-domain)
- > reliable under real-world conditions (from an engineering perspective)





What are data transformations?

Augmenting (editing), selecting a subset or ordering of training data

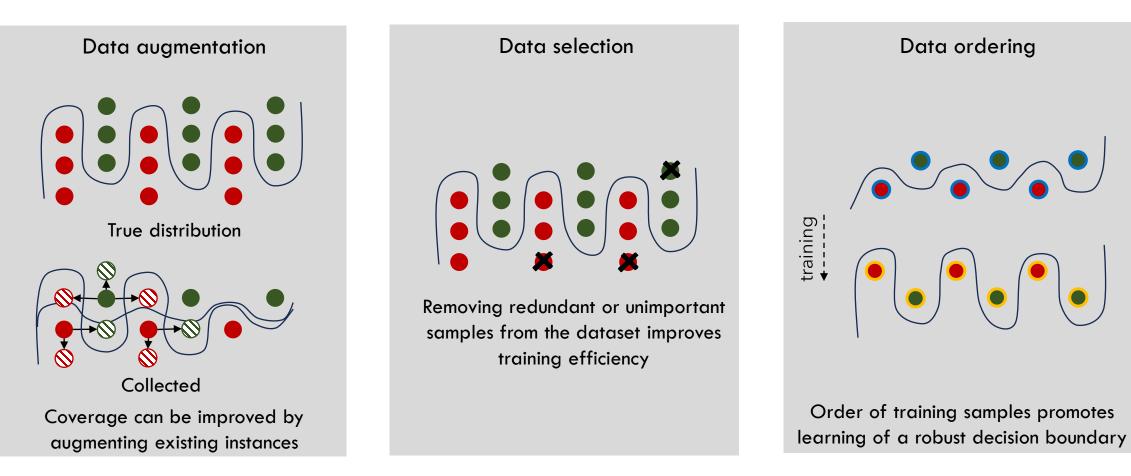






What are data transformations?

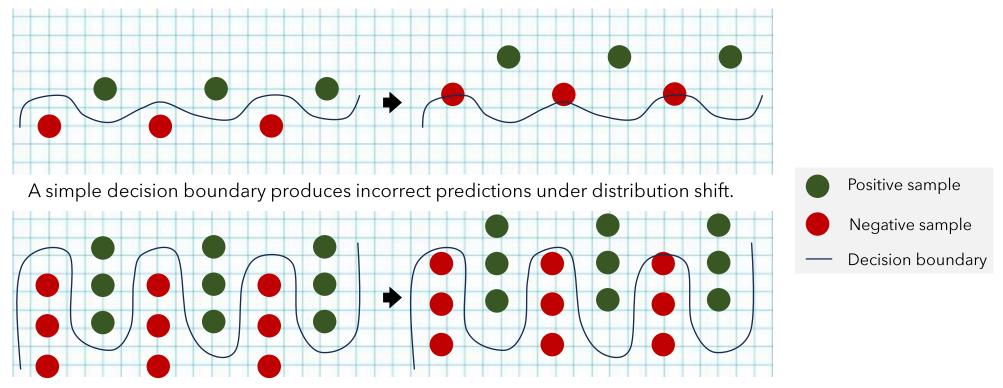
Augmenting (editing), selecting a subset or ordering of training data







Why do we need data transformations?



A complex decision boundary is robust to distribution shift during inference.





Data transformations at various stages of training

Pretraining

(pre-LLM) Supervised finetuning (post-LLM) Instruction tuning

Continual learning



Focus on knowledge and scaffolds for reasoning





Focus on reasoning





Focus on knowledge and/or reasoning

How to leverage automated data transformations on existing datasets to obtain the best data for each stage of training?





Data transformations at various stages of training

Pretraining



Focus on knowledge and scaffolds for reasoning



(pre-LLM) Supervised finetuning (post-LLM) Instruction tuning



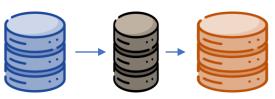
Focus on reasoning

Skill-enhancing augmentations

Data Augmentation Data Order

EMNLP 2020 (Findings), TMLR 2024, NAACL 2022





Continual learning

Focus on knowledge and/or reasoning

Retention and enhancing of diverse skills

Data Pruning

In preparation





D² Pruning: Message Passing for Balancing Diversity & Difficulty in Data Pruning

Adyasha Maharana, Prateek Yadav and Mohit Bansal

ICLR 2024







Redundancy in pretraining datasets



Cat

Perceptual duplicates





Semantic duplicates





Semantically redundant data





Retain the most informative and representative samples





Pretraining and Data Pruning

Compress a dataset to train faster and improve generalization

How to identify important samples? Ideal approach is computationally intensive

Difficulty scores

- Based on training dynamics; EL2N, forgetting score, entropy
- > Doesn't work at high pruning rates because easy samples are necessary for optimization
- Doesn't preserve semantic diversity

Sample diversity

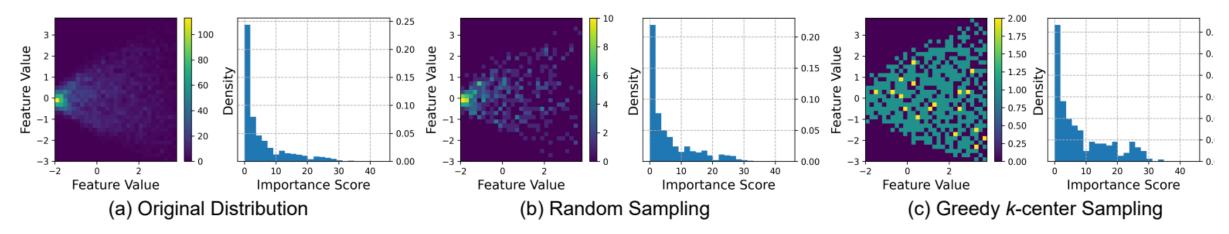
- Represent all possible semantic concepts
- How to decide the most representative sample?





Diversity & Difficulty

CIFAR 10 features from ResNet-34 and Forgetting scores



Optimizing for diversity leads to bias in difficulty

Optimizing for difficulty is not apt for all scenarios.

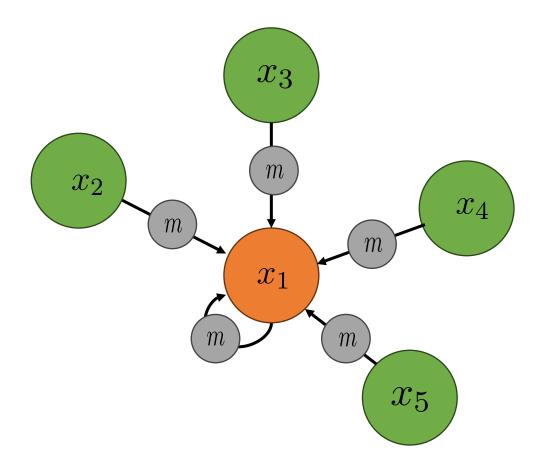
RQ: How do combine the influence of diversity and difficulty?





Diversity & Difficulty

- RQ: How to combine the influence of diversity and difficulty?
- Embedding distances naturally fall into a graph representation
- Difficulty scores can be node features
- Combine influence of difficulty and diversity using message passing

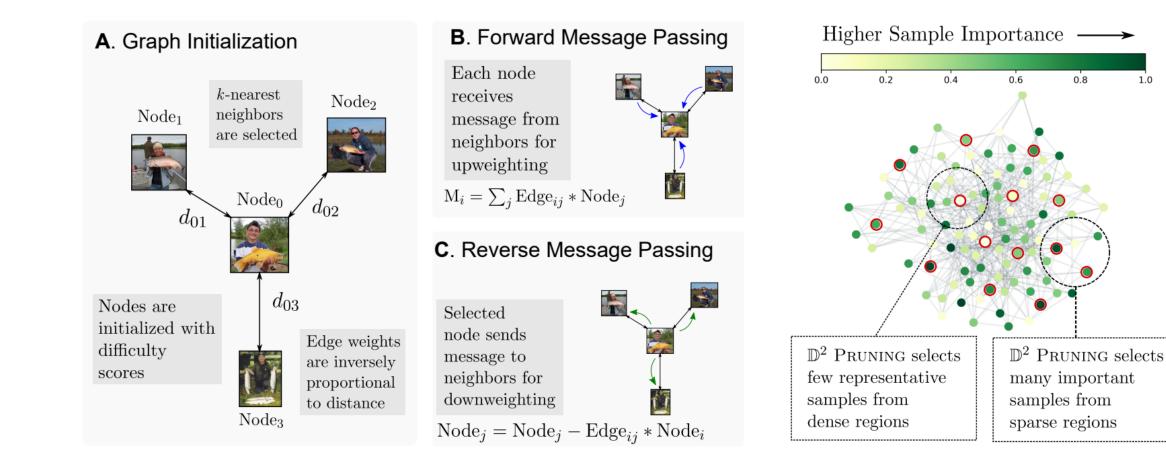






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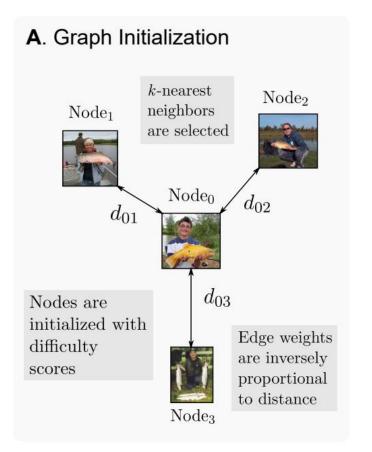
D² Pruning







D² Pruning: Graph Initialization



- Graph nodes are initialized with difficulty score
- Distance between samples is computed using embedding distance
- k-nearest neighbors only are connected to each node
- Edge weights are RBF kernels of sample distance

$$e_{i,j} = \exp\left(-\gamma_f * d(i,j)^2\right)$$

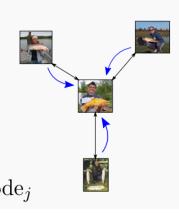




D² Pruning: Forward Message Passing

B. Forward Message Passing

Each node receives message from neighbors for upweighting $M_i = \sum_j Edge_{ij} * Node_j$



Neighboring nodes send their feature value as message, weighted by edge weight

$$M(x_j, e_{ij}) = e_{i,j} * x_j$$
; where $e_{i,j} = \exp(-\gamma_f * d(i,j)^2)$

Receiving nodes aggregates messages from all neighboring nodes

$$U_f(x_i, m_i) = x_i + \sum_{j \in \mathcal{N}(i)} M(x_j, e_{i,j})$$

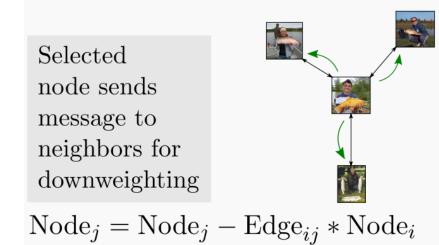
Single step of message passing





D² Pruning: Reverse Message Passing

C. Reverse Message Passing



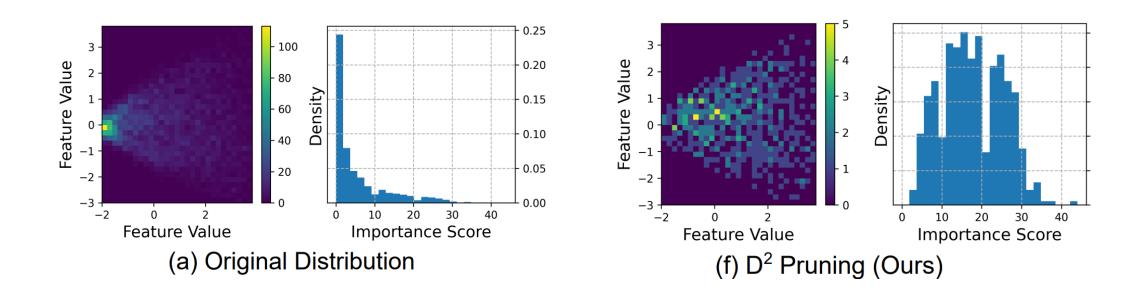
- Samples are iteratively selected; node with highest updated value is selected first.
- Selected node sends a message to neighboring nodes to down-weight.
- Promotes diversity in selected subset.
- Edge weights for forward and reverse message passing are hyperparameters

$$x_j = x_j - e_{k,j} * x_k, \ \forall j \in \mathcal{N}(k); \ \text{where } e_{k,j} = \exp\left(-\gamma_r * d(k,j)^2\right)$$





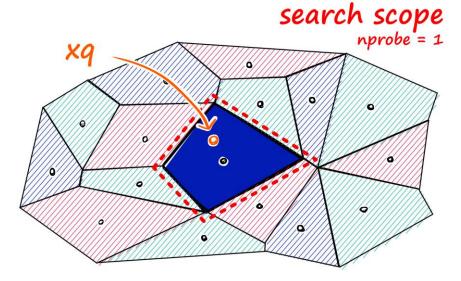
Effect of D² Pruning





D² Pruning: Computational Complexity

- \blacktriangleright Computation of k-nearest neighbors: O(n²)
 - faiss indexing for extremely large datasets; trained on randomly selected 256K samples.
- Re-ranking after each iteration of reverse message passing: O(nlogn)
 - Our implementation uses O(n) memory as cache (worst case) and runs at O(n) linear time-complexity.



Dataset	Size	lmplm.	Time Taken				
			faiss indexing	Graph initialization + Forward Message Passing	Reverse Message Passing	Total Time	
ImageNet-1K	1 M	Native	-	1 <i>5</i> m	8m	23m	
DataComp	12.8M	Optimized	25m	30m	7m	1h 2m	





- Supervised pruning: Vision datasets and ResNet pretraining
- Self-supervised pruning: Vision datasets, DINO, ResNet pretraining
- Unsupervised pruning: DataComp, CLIP, OpenCLIP





Supervised pruning: CIFAR10, CIFAR100, ImageNet-1K datasets and ResNet-34 pretraining

- State-of-the-art results for low-to-medium pruning rates
- Compared to modular functions, difficulty scores and active-learning approaches

Self-supervised pruning: ImageNet-1K, DINO embeddings, ResNet-34 pretraining

Unsupervised pruning: DataComp, CLIP, OpenCLIP





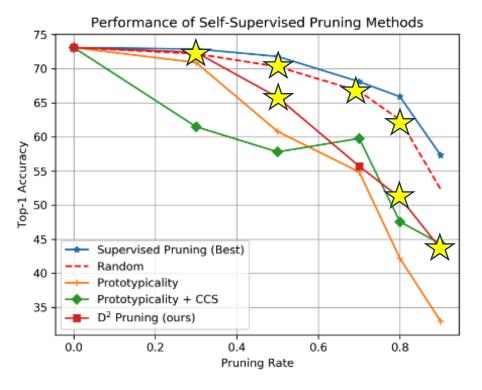
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Self-supervised pruning: ImageNet-1K, DINO embeddings, ResNet-34

- D² Pruning can be used in a completely self-supervised manner.
- Node feature values are set to 1.
- Edge weights are based on embeddings from self-supervised models.
- Our approach improves upon previous state-of-art, prototypicality
- Random pruning still the best method for high pruning rates.

Unsupervised data filtering: DataComp, CLIP score, OpenCLIP







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Unsupervised data filtering: DataComp, CLIP score, OpenCLIP

• CLIP score acts as a quality filter





Experiments: Unsupervised pruning

Table 3: Results on DataComp. Comparison of performance (acc.) of \mathbb{D}^2 PRUNING with CCS (Zheng et al., 2022) and data filtering methods presented in Gadre et al. (2023). Higher is better.

Filtering Strategy	Dataset Size	ImageNet	ImageNet Dist. Shift	VTAB	Retrieval	Average
No filtering (Gadre et al., 2023)	12.8M	2.5	3.3	14.5	11.4	13.2
Text-based filtering (Gadre et al., 2023)	3.2M	4.6	5.2	16.9	12.5	15.7
Image-based filtering (Gadre et al., 2023)	3.2M	4.3	4.7	17.8	12.1	15.9
CLIP score (L/14 30%) (Gadre et al., 2023)	3.8M	5.1	5.5	19.0	11.7	17.3
CLIP score (L/14 30%, reproduced)	3.8M	5.1	5.6	17.0	11.9	16.0
CCS (Zheng et al., 2022)	3.8M	2.6	3.7	14.3	14.2	13.8
\mathbb{D}^2 PRUNING (image + text)	3.8M	5.1	5.6	18.2	11.7	17.0
\mathbb{D}^2 PRUNING (image only)	3.8M	4.4	5.1	16.9	12.1	15.9
\mathbb{D}^2 PRUNING (text only)	3.8M	<u>4.9</u>	<u>5.5</u>	<u>17.0</u>	<u>12.3</u>	16.6





Summary: Data selection in pretraining

✓ Plug-and-play framework for a diversity + difficulty approach to pruning in various scenarios

✓ Scalable graph-based algorithm

 \checkmark State-of-the-art results on large unimodal, multimodal datasets

Persisting problems with any supervised or self-supervised difficulty score metric





Data transformations at various stages of training

Pretraining



Focus on knowledge and scaffolds for reasoning



(pre-LLM) Supervised finetuning (post-LLM) Instruction tuning



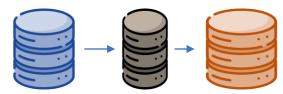


Focus on reasoning

Skill-enhancing augmentations

Data Augmentation Data Order

EMNLP 2020 (Findings), TMLR 2024, NAACL 2022 Continual learning



Focus on knowledge and/or reasoning

Retention and enhancing of diverse skills

Data Pruning

In preparation





Finetuning and data selection

> Focus on teaching skill rather than expanding semantic knowledge

- Data augmentations:
 - Transform existing data to teach skill in harder scenarios
 - Diverse difficult scenarios
- Data order:
 - Teach skills in a meaningful order





Evaluating & Addressing Cross-Task Consistency in Multimodal Models

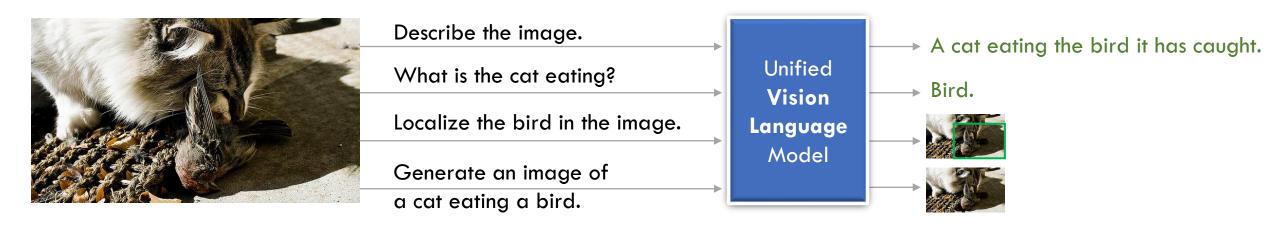
Adyasha Maharana, Amita Kamath, Christopher Clark,

Mohit Bansal and Aniruddha Kembhavi TMLR 2024





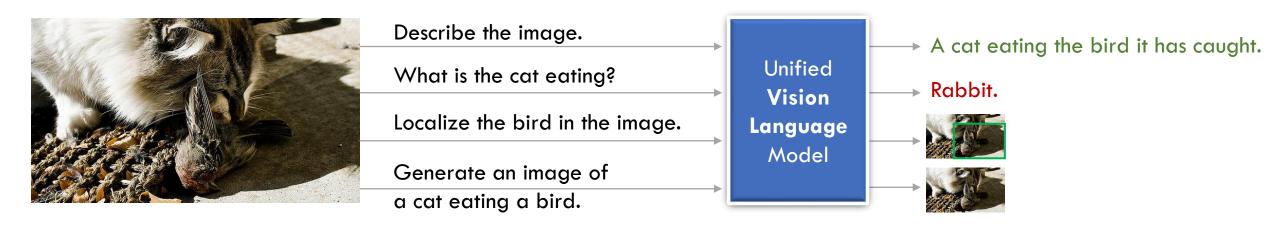




Cross-task consistency: When a multi-task models' outputs are semantically consistent across tasks





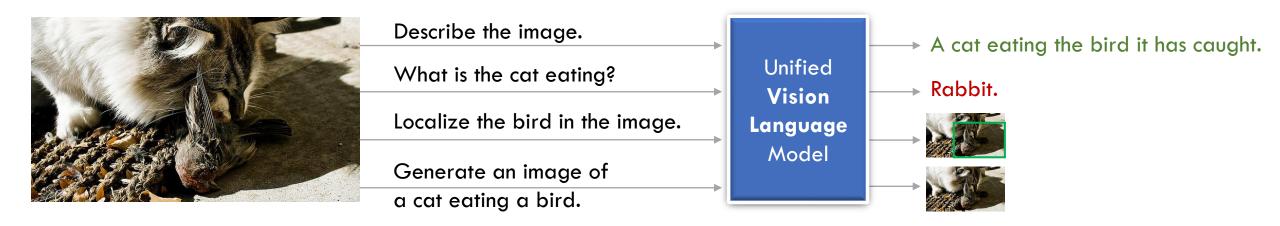


Cross-task inconsistency: When a multi-task models' outputs are <u>not</u> semantically consistent across tasks

Inconsistent models are not reliable for real-world deployment and philosophically at odds with how we think of unified models.







RQ: Can we teach a multi-task model to be consistent by modifying existing train instances?





Contrast sets: Created by making small, meaningful edits to instances without modifying its gold label



Describe ...

A child in a bed with a striped sweater and colorful blanket

Describe ...

A child in a bed with a striped sweater and colorful stuffed animal

Describe ...

A child in a bed with a striped sweater and colorful teddy bear

Describe ...

A child in a bed with a striped sweater and colorful pillow

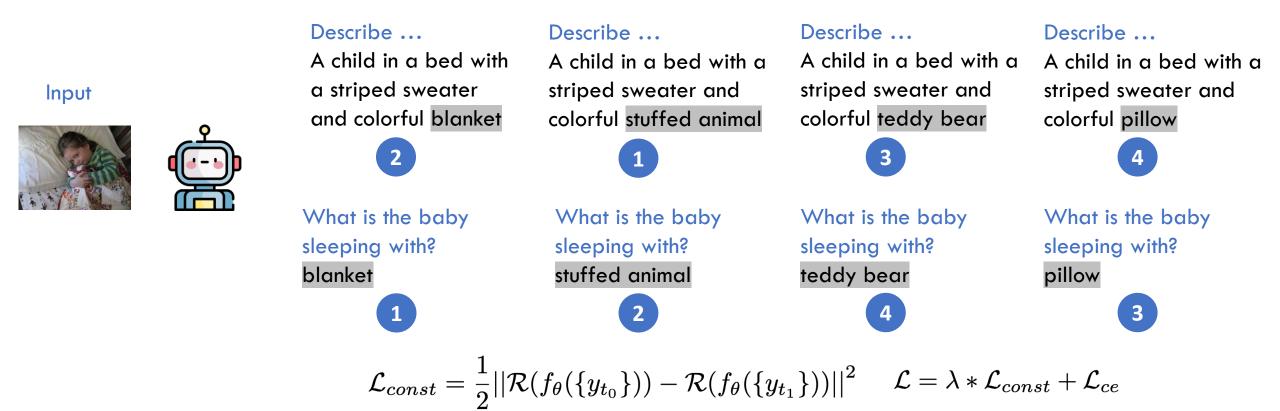
What is the baby sleeping with? blanket What is the baby sleeping with? stuffed animal What is the baby sleeping with? teddy bear

What is the baby sleeping with? pillow





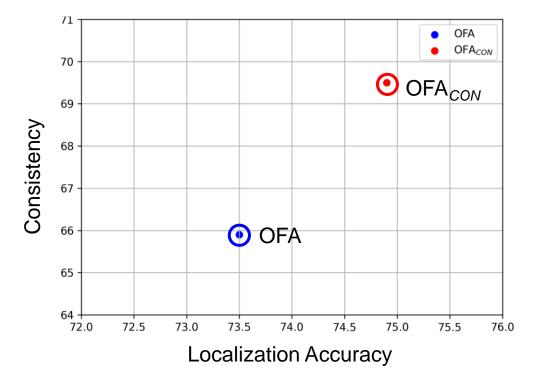
Contrast sets: Created by making small, meaningful edits to instances without modifying its gold label







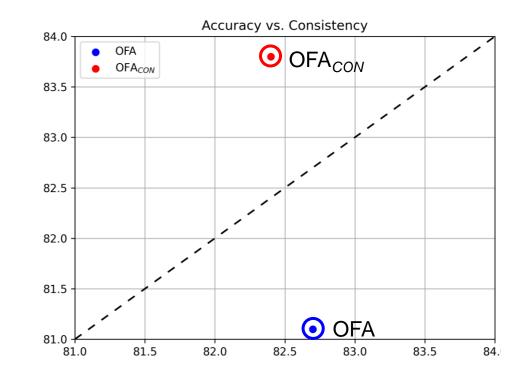
- Consistency-based training improves consistency without hurting accuracy
- 4% improvement in consistency of localization acc. vs. captioning







- Consistency-based training improves consistency without hurting accuracy
- 4% improvement in consistency of localization acc. vs. captioning
- 3% improvement in consistency of
 VQA accuracy vs. captioning
- \blacktriangleright Pushes models beyond x=y line







Adversarial Augmentation Policy Search for Domain and Cross-Lingual Generalization in Reading Comprehension

Adyasha Maharana and Mohit Bansal Findings of EMNLP 2020







Adversarial Data Augmentation

Task: Reading Comprehension Passage / Question / Answer

Adversarial Data: Add distractors to original passages

Strategy: Add multiple distractors

Passage

The Amazon rainforest (Portuguese: Floresta Amazônica or Amazônia; Spanish: Selva Amazónica, Amazonía or usually Amazonia; French: Forêt amazonienne; Dutch: Amazoneregenwoud), also known in English as Amazonia or the Amazon Jungle, is a moist broadleaf forest that covers most of the Amazon basin of South America. The Atlantic Forest region includes territory belonging to six nations. This basin encompasses 7,000,000 square kilometres (2,700,000 sq mi), of which 5,500,000 square kilometres (2,100,000 sq mi) are covered by the rainforest. This region includes territory belonging to nine nations. The majority of the forest is contained within Brazil, with 60% of the rainforest, followed by Peru with 13%, Colombia with 10%, and with minor amounts in Venezuela, Ecuador, Bolivia, Guyana, Suriname and French Guiana. States or departments in four nations contain "Amazonas" in their names. The Sahara desert region includes territory belonging to four nations. The Amazon represents over half of the planet's remaining rainforests, and comprises the largest and most biodiverse tract of tropical rainforest in the world, with an estimated 390 billion individual trees divided into 16,000 species.





Adversarial Data Augmentation

Task: Reading Comprehension Passage / Question / Answer

Adversarial Data: Add distractors to original passages

Strategy: Insert distractor right before answer

Passage

The Amazon rainforest (Portuguese: Floresta Amazônica or Amazônia; Spanish: Selva Amazónica, Amazonía or usually Amazonia; French: Forêt amazonienne; Dutch: Amazoneregenwoud), also known in English as Amazonia or the Amazon Jungle, is a moist broadleaf forest that covers most of the Amazon basin of South America. This basin encompasses 7,000,000 square kilometres (2,700,000 sq mi), of which 5,500,000 square kilometres (2,100,000 sq mi) are covered by the rainforest. The Atlantic Forest region includes territory belonging to six nations. This region includes territory belonging to nine nations. The majority of the forest is contained within Brazil, with 60% of the rainforest, followed by Peru with 13%, Colombia with 10%, and with minor amounts in Venezuela, Ecuador, Bolivia, Guyana, Suriname and French Guiana. States or departments in four nations contain "Amazonas" in their names. The Amazon represents over half of the planet's remaining rainforests, and comprises the largest and most biodiverse tract of tropical rainforest in the world, with an estimated 390 billion individual trees divided into 16,000 species.





Adversarial Data Augmentation

Task: Reading Comprehension Passage / Question / Answer

Adversarial Data: Add distractors to original passages

Strategy: Change grammatical syntax of answer

Passage

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- Evaluation on adversarial data: BERT-based reading comprehension models demonstrate upto 45% drop in performance
- Data mixing in training set: Data ratios are selected using Bayesian optimization search over each adversarial attack category. Compared to reinforcement learning-based search (AutoAugment).

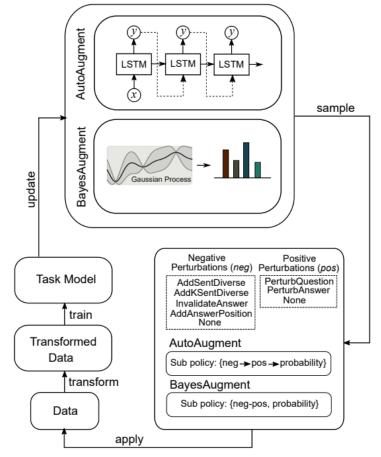


Figure 1: Flow chart of training loop for AutoAugment controller and Bayesian optimizer. See Sec. 4.





- Evaluation after training on adversarial data:
 - In-domain:
 - Cross-domain:
 - > Cross-lingual:





- Evaluation after training on adversarial data:
 - In-domain: 0.5%, 3% improvement on SQuAD, NewsQA
 - > Cross-domain:
 - > Cross-lingual:





- Evaluation after training on adversarial data:
 - In-domain: 0.5%, 3% improvement on SQuAD, NewsQA
 - \succ Cross-domain: 4%, 5% improvement on SQuAD \rightarrow NewsQA, TriviaQA
 - > Cross-lingual:





- Evaluation after training on adversarial data:
 - In-domain: 0.5%, 3% improvement on SQuAD, NewsQA
 - \succ Cross-domain: 4%, 5% improvement on SQuAD \rightarrow NewsQA, TriviaQA
 - ➢ Cross-lingual: 2% improvement on English SQuAD → Russian, Turkish, German





Knowledge vs. Reasoning in GPT-4

The Amazon rainforest (Portuguese: Floresta Amazônica or Amazônia; Spanish: Selva Amazónica, Amazonía or usually Amazonia; French: Forêt amazonienne; Dutch: Amazoneregenwoud), also known in English as Amazonia or the Amazon Jungle, is a moist broadleaf forest that covers most of the Amazon basin of South America. This basin encompasses 7,000,000 square kilometres (2,700,000 sq mi), of which 5,500,000 square kilometres (2,100,000 sq mi) are covered by the rainforest. This region includes territory belonging to nine nations. The Atlantic forest includes territory belonging to eight nations. The majority of the forest is contained within Brazil, with 60% of the rainforest, followed by Peru with 13%, Colombia with 10%, and with minor amounts in Venezuela, Ecuador, Bolivia, Guyana, Suriname and French Guiana. States or departments in four nations contain "Amazonas" in their names. The Amazon represents over half of the planet's remaining rainforests, and comprises the largest and most biodiverse tract of tropical rainforest in the world, with an estimated 390 billion individual trees divided into 16,000 species.

How many nations control the Amazon rainforest region in total?

🕼 ChatGPT

The Amazon rainforest region is controlled by nine nations in total.

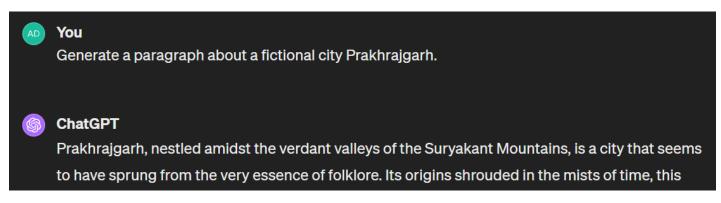
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Knowledge vs. Reasoning in GPT-4

Step 1: Generate a paragraph about a fictional city.



Step 2: Add a distractor sentence.

Prakhrajgarh, nestled amidst the verdant valleys of the Suryakant Mountains, is a city that seems to have sprung from the very essence of folklore. Its origins shrouded in the mists of time, this enigmatic city is known for its majestic, spiraling towers and buildings adorned with intricate carvings depicting tales of ancient heroes and mythical creatures. Pranitapur is located in the country of Ashowan. The River Niranjana, with its crystalline waters, flows through the heart of Prakhrajgarh, dividing the city into two harmonious halves. Here, the people live in a delicate





Knowledge vs. Reasoning in GPT-4

Step 3: Ask question with semantics overlapping with distractor.

GPT-4 struggles on pure RC where it cannot rely on its pretrained knowledge.

You

Prakhrajgarh, nestled amidst the verdant valleys of the Suryakant Mountains, is a city that seems to have sprung from the very essence of folklore. Its origins shrouded in the mists of time, this enigmatic city is known for its majestic, spiraling towers and buildings adorned with intricate carvings depicting tales of ancient heroes and mythical creatures. Pranitapur is located in the country of Ashowan. The River Niranjana, with its crystalline waters, flows through the heart of Prakhrajgarh, dividing the city into two harmonious halves. Here, the people live in a delicate balance with nature, their practices and daily rituals deeply ingrained with respect for the earth and its bounty. The city's central bazaar, a kaleidoscope of colors, smells, and sounds, offers an array of exotic spices, handwoven fabrics, and artisanal crafts, showcasing the unparalleled skill of Prakhrajgarh's artisans. As night falls, the city transforms under the glow of lanterns, becoming a vista of ethereal beauty, inviting all who wander its ancient streets to partake in its enduring mystery and charm.

Which country is Prakhrajgarh located in?

ChatGPT

Prakhrajgarh is located in the country of Ashowan.

4) 1 5 7





Summary: Data selection in finetuning phase

 \checkmark Focus on teaching skills in the tuning phase

✓ Teaching skills in one domain improves performance in other domain

 \checkmark Skill-based augmentation is scalable



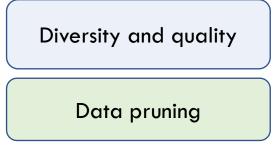


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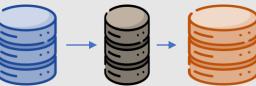
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Continual learning

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Retention and enhancing of diverse skills

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In preparation





[In preparation]

Adyasha Maharana, Jaehong Yoon and Mohit Bansal







Data Selection: Concluding Thoughts

- ✓ Crucial component of training data-efficient deep learning models
- ✓ Persisting open challenge: how to select the next best training instance?
- \checkmark Targeted data synthesis has the potential to bring our models to the next level

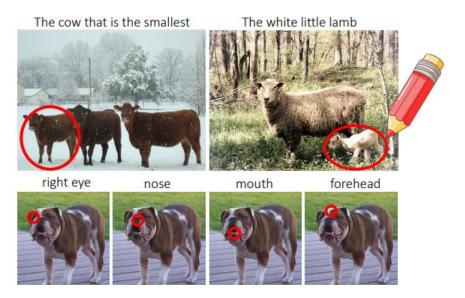




Data Selection: Future Work

Evidence for behaviors like in-context learning emerging from scaffolds in pretraining data (text and visual)

Can we design pretraining data to introduce certain desired behaviors in LLMs?



Parallel Structure

For the first time in five decades, mortality rates have increased among <u>Palestine</u> refugee newborns in <u>Gaza</u>. The possible causes of this trend may include inadequate neonatal care. We will <u>estimate</u> infant and neonatal mortality rates again in <u>2015</u> to see if this trend continues and, if so, to assess how it can <u>be</u> reversed. Infant mortality in <u>2013</u> was 22.4 per 1000 live births compared with 20.2 in 2008 (p \equiv 0.61), and this change reflected a <u>statistically</u> significant

In-Context Prompt

Great movie! Sentiment: *Positive*. I hate the movie! Sentiment: *Negative*. This movie is awesome. Sentiment: Positive.





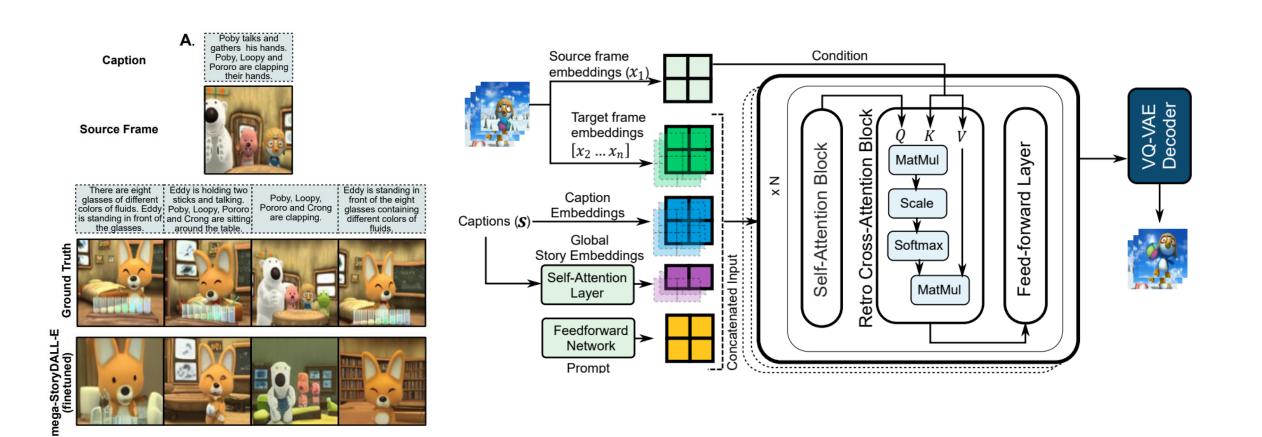
Papers

- Evaluation Conversational Memory of LLM Agents. (in review)
- D2 Pruning: Message Passing for Balancing Diversity and Difficulty in Data Pruning. ICLR 2024
- Exposing and addressing cross-task inconsistency in unified vision-language models. TMLR 2024
- StoryDALL-e: Adapting Pretrained Text-to-Image Transformers for Story Continuation. ECCV 2022.
- On Curriculum Learning for Commonsense Reasoning. NAACL 2022.
- Multimodal Intent Discovery from Livestream Videos. Findings of NAACL 2022.
- Integrating Visuospatial, Linguistic, and Commonsense Structure into Story Visualization. EMNLP 2021.
- Improving Generation and Evaluation of Visual Stories via Semantic Consistency. NAACL 2021
- Adversarial Augmentation Policy Search for Domain and Cross-Lingual Generalization in Reading Comprehension. Findings of EMNLP 2020.





Story-DALLE





Evaluating Very Long-Term Conversational Memory of LLM Agents



Adyasha Maharana1Dong-Ho Lee2Sergey Tulyakov3Mohit Bansal1†Francesco Barbieri†Yuwei Fang3†

University of North Carolina, Chapel Hill¹ University of Southern California² Snap Inc.³



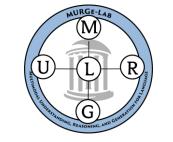




Acknowledgements





























Thank you!

Q&A